

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Vincent Ching Ping Li et al

Serial No. 09/465,336

Examiner:

Filing Date: 12/17/99

Group Art Unit: 2768

For: Method for Charting Financial Market Activities

Assistant Commissioner for Patents
Washington, DC 20231

Sir:



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PRELIMINARY AMENDMENT

Prior to examination, please amend the accompanying application as follows.

IN THE DRAWINGS:

Figures 1-A, 1-B, 3 and 10 enclosed herewith, have been marked up in red to reflect proposed changes.

IN THE SPECIFICATION:

Page 1, line 4, delete "TITLE:".

Page 1, line 6, delete "CROSS-REFERENCE TO RELATED APPLICATIONS".

Page 1, line 7, delete "Not applicable".

Page 6, line 18, please change "FIG. 1 shows" to read --FIGS. 1A and 1B show--.